Monday, July 20, 2015

8:00 am	**AMERICAS REGATTA CUP NETWORKING EVENT** **(shuttle service begins at 7:15 am)** **Boat Sponsors include:** **MatlinPatterson Asset Management L.P.** **Indigo Capital Advisors, LLC** **Wellesley Investment Advisors** **Spindletop Capital** **Single Oak Ventures**
8:00 am – 12:00 pm	**Exhibit Set-up**
9:30 am	**Registration**
10:00 am – 7:30 pm	**Registration / Exhibit Hall / Meeting Rooms Open**
10:00 am – 7:30 pm	**Hospitality Lounge Open for 1-1 meetings** **Sponsored by:** **Jefferies LLC**
11:00 am	**Keynote Workshop and Boxed Lunch** **Presented by:** **CEO, ConciergeUp.com powered by ScorpionComputerServices.com, and the Executive Producer of the Scorpion TV show on CBS** **Interviewed by:** **CEO and Founder, Aston Pearl**
11:45 am	**Co-Chair Opening Remarks** **President and Founder, Mack International, LLC** **Partner and Director of Private Wealth Practice, Mercer, LLC**

12:00 pm		**Bubble or Boom?** Speaker: **Founding Partner, Single Oak Ventures**
12:20 pm		**Creating Competitive Advantage through Information-Driven Client Service** As the wealth management industry becomes commoditized, only firms that adapt early and effectively will thrive. The best way to do achieve this is to shift focus to your competitive differentiators—client service and relationship management. What is the common requirement for delivering these value-adds? Find out how to build an effective, efficient information foundation for your firm by: • Overcoming the challenges of data volume and complexity • Adding integrity, context and accessibility to data • Leveraging the resulting information to support client-centric objectives Speaker: **COO, Chief Strategy Officer, QED Financial Systems, Inc.**
12:40 pm		**The Case For Cat Bonds: Non-Correlated Returns in a Correlated World** Speaker: **Vice President & Portfolio Manager, OppenheimerFunds Inc**
1:00 pm		**A New Day, a New Model: How to Structure your Family Office for Success and Minimize The Governments Hold.** • Best practices for family office organization and entity structure • Are intra-family asset transfer discounts finally doomed? • Family office succession planning • Update on SEC registration for Family Offices Moderator: **Co-Founder and Executive Managing Director, Pathstone Family Office (MFO)** Panelists: **Managing Director, Berkeley Research Group, LLC** **CEO & Founder, Pepper International LLC (MFO)** **Partner & Chairman of Advanced Planning and Family Office Practice Group, Handler Thayer, LLP** **Senior Vice President Client Services, Wealth Management, The Washington Trust Company**

2:00 pm	**Family Office Chief Investment Officer Roundtable Discussion** • In light of several years of market prosperity, are you optimistic, pessimistic or neutral for the balance of the year? • Where are you allocating the bulk of your assets? • How important is "financial engineering/investment structuring" versus track record metrics? • What are you most optimistic about right now? • What worries do you have right now and want to address? • What indicators are you following most closely? • What world markets most interest you? • How did your family make its wealth, and are you investing in similar correlative areas? • What investments are you making, if any, that are for subsequent generations (essentially permanent capital allocations)? • How often do you re-evaluate your market strategies and approaches and or allocations? • Do you anticipate making any substantial changes in your current strategies in the near future? • Do you have any concerns or opinions about the US Elections for next year and how it will affect your investment strategies? • Can you share your best investment idea with the audience? • Does the fact that the largest stock exchange had a “technical glitch” last week raise a level of concern? Moderator: **Director of Investments, CI Investments (SFO)** Panelists: **Managing Director, Co-Founder and CIO, The Laughren Group (SFO)** **President/Chief Investment Officer, Statim Holdings, Inc. (SFO)** **President, Cooper Family Office (SFO)** **CIO, Newton Trust (SFO)**	
3:00 pm	**Networking Refreshment Break** **Sponsored by:** **QED Financial Systems, Inc.**	
Tracks	**Track A – Brenton Hall**	**Track B – Rose Island Hall**
3:30 pm	**New look investment styles and strategies for your family office portfolio** • What strategies are families missing out on due to new opportunities that exist in markets today which did not in the past? • Given the length and duration of the current bull market, how would you advise families position themselves today?	**Uncompromised Impact Investing** An in depth discussion of the actionable investment opportunity set today • Retrospective: the evolution of impact investing o From divestiture to "charitable" mandates to uncompromised investing • Redefining impact: broadening the scope from the obvious to the subtle

		• How concerned should families be about geopolitical events, and, what actions should they take?
		• What are the biggest mistakes families make when making manager selection decisions?
		
		Moderator:
		Director, GenSpring Family Offices (MFO)
		
		Panelists:
		Founder & CEO, Spruce Investment Advisors, LLC
		Founder, Managing Partner, TerraCap Management, LLC
		CEO & Managing Partner, Liquid Strategies, LLC
			• Exploring the opportunity set:
			  o Behavioral trends in the behavior of both the retail and commercial consumers
			  o Examples and discussions of various sector opportunities
			
			Moderator:
			Managing Partner and CIO, Delegate Advisors (MFO)
			
			Panelists:
			Senior Officer of Strategic Initiatives, Calvert Social Investment Foundation
			--CUT ENVIORNMENTAL INITIATIVE AND GRIDPLEX NETWORKS--
			Founder and CEO, Change.org
			Ph.D., Chief Impact Strategist, Chilton Capital Management

4:30 pm		**Real Estate Investing Outlook** • Real Estate allocation as part of a well balanced portfolio • Do recent articles and commentaries overstate the frothiness of today's market? • Investing in a rising cap-rate environment • Repositioning of assets as a core investment strategy • Repositioning in prime and urban markets • Repositioning in secondary markets • Does institutional investors' bias towards ultra- core assets represent a buying opportunity in other areas? Moderator: **Managing Director, Real Estate, Belpointe (SFO)** Panelists: **President, Shopoff Realty Investments** **President & CIO, Lingerfelt CommonWealth Partners** Managing Partner, **522 Capital Partners, LLC** **CEO, Casoro Capital**	**Fixed Income/Credit** • How can we protect ourselves now against the (inevitable) rise in US short-term interest rates? • Are there opportunities in the performing credit markets, given the rush of money in the sector? • Will a distressed cycle resurface and will it provide similar returns as historical experience, or is the space just too crowded? • What are the new credit investing paradigms in a post-2008 world, including in non-bank lending markets? Moderator: **President & CIO, EA Global LLC (SFO)** Panelists: **Managing Director, Bayview Asset Management, LLC** **President, Cohanzick Management, LLC** **Portfolio Manager, West Side Advisors, LLC** **CEO, MJX Asset Management LLC**

5:30 pm		**Opportunities in Real Assets Investing** • Private investor allocations – strategies, trends and structures • Market outlook – where and how to invest in times of global uncertainty • Institutional partners – the key to locked markets • "Leveraging" your investments - how real estate can support your tax and estate planning Moderator: **Family Office Executive, Greenway Family Office (MFO)** Panelists: **CEO, Directed Capital** **Owner, Ibanez Holdings, LLC** **Senior Managing Director and Co-Founder, Pathfinder Partners, LLC** **Senior Managing Principal, Silver Portal Capital LLC**	**Liquid Alternatives** • Limitations of liquid alternatives versus direct or fund of fund investing • Do they really provide enhanced returns, diversification, risk mitigation and cost savings? • Will the "best" hedge fund managers be part of a liquid alternatives structure? • What strategies to use in today's markets • What's next in liquid alternatives space – other possible asset classes or areas of investment Moderator: **Director, Highline Wealth Management (MFO)** Panelists: **Managing Director, Neuberger Berman** **Senior Vice President and Chief Investment Officer, Segal RogersCasey** **Director, Client Advisory Group, Windrose Advisors**
6:30 pm – 7:30 pm		**Networking Cocktail Reception** Join us and unwind with fellow industry professionals for refreshments & hors d'oeuvres. **Sponsored By:** **CAF America Donor Advised Funds**	

Tuesday, July 21, 2015

7:00 am – 8:40 am	Exhibits Open	**Continental Breakfast** **Sponsored by:** **Berkeley Research Group, LLC**
7:00 am– 7:00 pm		**Registration / Exhibit Hall / Meeting Rooms Open** **Hospitality Lounge Open for 1-1 meetings**
7:00 am– 7:00 pm		**Hospitality Lounge Open for 1-1 meetings** **Sponsored by:** **Jefferies LLC**

7:30 am – 8:30 am		**Private Closed Door Breakfast Roundtable for family offices:** **family office and multi –family offices ONLY** **"Investments and Families, a discussion about how families share their investment concerns, ideas, internally and externally"** This private, closed-door session will be organized for **foundations, family offices, multi-family offices, non-discretionary consultants and accredited high-net worth individuals** to address issues these organizations/individuals endure day-to-day. Participants are expected to share experiences, thoughts, concerns, challenges, and solutions in a private peer exchange setting. The session will be a facilitated, interactive, dynamic conversation among the participants. **No managers or service providers will be allowed in the session, no exceptions.** Facilitator: **Principal, Gallatin Wealth Management, LLC (MFO)**	
8:30 am		**Opening Remarks**	
Tracks		**Track A – Brenton Hall**	**Track B – Rose Island Hall**

8:45 am		**Private Equity - Creating Alpha and Uncorrelated Returns** • Co-Investing and collaboration- How can family offices increase number of and quality of direct deal opportunities? • Venture capital – another bubble 2.0 or different this time? How do you access the best GPs as a family office investor? • Does growth equity represent the best of VC and buyouts combined? • How can family offices best tap in to technology and healthcare megatrends in private equity? Moderator: **CEO, Stetson Family Office (SFO)** Panelists: **Founding Managing Director, Spindletop Capital, LLC** **Principal, Performance Equity Management, LLC** **CEO, CVCA**	**Investing in Non-Correlated Assets: The best practices moving forward** • *Bigfoot or truffles*: do non-correlated investments exist, and if so, where do we find such elusive delicacies? • *Window dressing or wardrobe essential*: what role do non-correlated investments play in well-dressed portfolio allocation and risk model? • *"It's a dangerous business, Frodo, going out your door"*: what risks exist in the world against which non-correlated investments mitigate or eliminate? • *"The best-laid schemes o' mice an' men gang aft agley"*: a discussion of the panelist's experience with various non-correlated investments and how they worked…or didn't work…in market turbulence. • *A little more alpha and a little less beta*: non-correlated investment strategies should not be Greek to you. Moderator: **Senior Wealth Advisor & Portfolio Manager, Keel Point (MFO)** Panelists: **Chief Financial Officer, GWG Holdings, Inc.** **Managing Partner, The Milestone Group** **Director, Wells Fargo Securities**

9:45 am		**Direct Investing / Co-Investing: Going Back to the Roots** • What are the most successful techniques used to source deals? • What are the most critical elements in selecting an investment and how can they be efficiently evaluated? • What are the best techniques to ensure that adequate due diligence is conducted? • How can you best leverage your Family Office's existing competencies (such as industry expertise) when selecting a direct investment? • What are the latest trends in financing a transaction? Does co-investing work? • Monitoring your investment portfolio---who, what, when and where? • Is there an optimum time to exit? Moderator: **CEO, The Fried Family Office LLC (SFO)** Panelists: **Founder & President, Family Office Research (MFO)** **Senior Managing Director, OFS Capital** **CEO and Founder, Carolina Financial Securities, LLC** **Director of Product Marketing, Netage Solutions, Inc.**	**Investing in Alternatives for family offices and high net worth** • Are "alternatives" an asset class? • Best practices for investing in alternatives. • What level of institutionalization is enough; can a family office risk being involved with a small manager? • Fee pressure in alternatives. Alpha, beta or leverage generating the hedge fund performance? What do you pay for levered beta? Less than alpha... • "Swiss Farmer" comes to Delaware courts – risk Arbitrage on steroids = opportunity • Challenges of performance reporting in funds with alternatives and esoterics Moderator: **Chief Investment Officer, Grace Cottage Foundation** Panelists: **Executive Managing Director and Chief Client Officer, Private Client Resources** **Partner, Chimicles & Tikellis LLP** **Partner, Single Oak Ventures** **Co-Founder and Co-CEO, investOrbit**
10:45 am		**Networking Refreshment Break** **Sponsored by:** **eVestment**	

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<td>11:00 am</td>
<td rowspan="4">Exhibits Open</td>
<td>Crowdfunding
• Pros & Cons
• Regulatory Issues
• Costs?

Moderator:
General Counsel, The Strategic Group of Companies

Panelists:
CEO, iFunding
Partner, Alpha Venture Partners / Pritzker Group Venture Capital
Structured Products, Funding Circle
Chief Executive Officer, 1031 CrowdFunding, LLC</td>
<td>Tax Efficient Investing: How Ultra-Affluent Families Utilize Private Placement Insurance Structures.
• What is it private placement life insurance (PPLI) and how does it differ from traditional life insurance?
• What makes it a powerful tool for achieving a family's investment, liquidity, and wealth transfer objectives?
• What are the tax advantages, costs, and risks?
• What sophisticated investment strategies are available to owners of PPLI?

Moderator:
Senior Relationship Manager, Lexington Wealth Management (MFO)

Panelists:
CFA, Vice President, Goldman Sachs Asset Management, L.P.
Principal and General Counsel, Forester Capital, L.L.C.
Senior Sales Vice President, Zurich Global Life
Partner, U.S. Business Development, GoldenTree Asset Management, LP</td>
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<td>12:00 pm</td>
<td colspan="2">Behind the Private Equity Wheel
The importance of due diligence and an exploration of how to get behind private equity performance numbers

Speaker:
Director of Private Equity Solutions, eVestment</td>
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<td>12:20 pm</td>
<td colspan="2">The side effects are worse than the Cure: Structured Products the gift that keeps on Giving

Speaker:
Executive Managing Director, Head of Trading, CG Capital Markets</td>
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<td>12:40 pm</td>
<td colspan="2">High Yield Bonds in a Diversified Portfolio Strategy
• Rising rates and the high yield market
• Focus on credit selection with a hold to maturity strategy
• We construct a sample ladder of 10 Single B securities

Speaker:
Founding Employee / President/COO, CreditSights</td>
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<tr><td>1:00 pm</td><td></td><td colspan="2">Networking Luncheon</td></tr>
<tr><td>Tracks</td><td></td><td>Track A – Brenton Hall</td><td>Track B – Rose Island Hall</td></tr>
<tr><td>2:30 pm</td><td></td><td>Energy Investments: A look at renewable and oil and gas opportunities.
How the shale oil and gas revolution will affect global oil supplies
Impact of new drilling technologies and will the environmental effects curtail production
Energy & commodity price outlook and return profiles
Crude oil volatility - dropping down to $40/bbl then rallying to high $50/bbl. What caused it and what does the future hold?
Alternative energies on the horizon and what will be the impact?
Moderator:
Managing Director, L Investments (SFO)

Panelists:
Co-Founder, Raptor Rig
Founding Managing Partner, True Green Capital Management LLC
Director, Investor Solutions, Capital One Wealth and Asset Management
CIO, Five States Energy Capital, LLC</td><td>Risk measurement to risk management: Things to consider in your asset allocation practices
Portfolio construction: ways to balance the portfolio
Risk parity allocation models: does it really work?
Capturing outsized returns relative to risk
Asset Allocation Models and Practices; what can we learn from the Canadian pension plans?
Risk based models within a single asset program
Moderator:
Managing Principal/Visiting Adjunct Professor, Peninsula House (SFO)/Columbia University

Panelists:
Managing Director, American Private Equity Group (SFO)
Partner and Director of Private Wealth Practice, Mercer, LLC
Chief Executive Officer and Co-Founder, Harvest Volatility Management, LLC</td></tr>
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3:30 pm		**Emerging and Frontier Markets** • Outlook for the next 12 months - drivers and risks • Markets that will be winners and losers in 2015/2016 • Which markets could surprise - and why? Moderator: **Director, LALCAP (UK)** **Panelists:** **Managing Director & Senior Investment Advisor, Ballentine Partners, LLC (MFO)** **CEO, PanAfrican Investment Co., LLC** **Principal, Raire Family Office (SFO)**	**Insights and Innovations: Maximizing the Impact of your Philanthropy** Panel discussion on the effective governance and oversight of charitable entities including lead trusts, private foundations and donor advised funds to help achieve maximum impact with philanthropy. • Creating proper vehicle or combination to accomplish the philanthropic goal and provide efficient administration: 501 c 3 (public and private), L3C, and for-profit social investments • Financial management of charitable vehicles: mission-aligned and socially responsible investment strategies, impact investing, optimizing investment performance • Measuring impact of programmatic activities • Comparing charitable vehicles: types of assets that may be donated, grantmaking capabilities, tax advantages, etc. Moderator: **Co-Principal/Founder, Wise Philanthropy™** Panelists: **VP, Philanthropic Services, Jewish Communal Fund** **Vice President, Rockefeller Philanthropy Advisors** **Senior Advisor, The Bill and Melinda Gates Foun** **Senior Managing Director, Foundation Source**
4:30 pm		**Networking Refreshment Break** **Sponsored by:** **Sandstorm Gold LTD.**	

4:45 pm		**Tracking your investments - what and how should you monitor**
		For family offices, performance reporting is how we measure the effectiveness of our allocation and investment choices, which guides how we allocate funds today. It serves as our way to communicate to the family what we've done and how we've done. For many offices, it's a heavily customized and manual process, combining multiple systems to create a portfolio of reports for different family members. This panel will address the systems and solutions for:
		• Data aggregation
		• Meaningful analytics (attribution, correlations, security and factor exposure)
		• reporting on private investments
		• Calculating after-tax, after-fee performance
		• Creating reports for different family members (with differing levels of interest and presentation preferences)
		
		Moderator:
		Director, Veritable, LP (MFO)
		
		Panelists:
		Principal, Maihar Capital Strategies (SFO)
		Vice President, Product & Market Strategy, Backstop Solutions Group
		President, Coomber Family Estates Family Office (SFO) / Dragon Trust Family Office (SFO)
			Taking a fresh look at Trusts:
			What families should be asking their Advisors about
			o Dynasty (Generation Skipping Transfer Tax Exempt) Trusts
			  o Trustee selection
			  o Legacy/Values
			  o Distributions
			  o Investments
			o Private Trust Companies
			  o Definition
			  o Structure
			  o Benefits-Control, flexibility, continuity, privacy, next generation, education
			o Selecting a Trustee
			  o An increasing complex world-Fiduciary duties, litigation, duration, trustees, investments, changing circumstances
			  o Considerations-Types of trusts and trustees, nature of assets, costs, expertise, experience, relationships (trustee, family, beneficiaries), reliability, availability
			
			Moderator:
			Director, Greycourt & Co. (MFO)
			
			Panelists:
			CEO and Founder, Wealth Legacy Advisors LLC
			Vice Chairman, Market Street Trust (MFO)
			President, Willow Street Financial Services (MFO)
			Managing Director, Tiedemann Wealth Management, LLC (MFO)

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<td>5:30 pm</td>
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<td>New trends for investing in Emerging Managers
• How do you think about your allocation to emerging managers?
• How do you select emerging managers?
• Where are the risks and how do you think about mitigate them?
• Are there specific strategies that lend themselves better to emerging vs. established managers?

Moderator:
Director, Gatemore Capital Management (MFO)

Panelists:
Partner, Pepper Hamilton
Managing Partner, Stissing Lake Advisors
President, LaSalle Street Capital Management LLC</td>
<td>Equity Investing: Navigating through the current environment
• View of the equity market and it's risks
• Strategies for navigating the current market conditions
• New equity opportunities given the current market

Moderator:
Ira Perlmuter, Managing Director, T5 Equity Partners LLC (SFO)

Panelists:
Managing Director, Healthcare, Clough Capital Partners
Executive Director, Strategic Investors (SIFIRM) US Family Office Syndicate
Founder and CEO, Park City Capital</td>
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<td>6:15 pm – 9:30 pm</td>
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<td colspan="2">Summer Social Bash Networking
Join us and unwind with fellow industry professionals for Live Music, Great Food, & Refreshments during our networking party.

Featuring Live Music by:
Apology Accepted

Global Impact Award Presented to:
Change.org

Sponsors include:
CANNABIZ
CG Capital Markets
Clark Hill PLC
CreditSights
Fidelity
Harvest Volatility Management, LLC
Oppenheimer
Single Oak Ventures
Spindletop Capital
Zurich</td>
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Wednesday, July 22, 2015

7:30 am	Exhibits Open	**Continental Breakfast** **Sponsored by:** **Withers Bergman LLP**
8:00 am – 2:00 pm		**Registration / Exhibit Hall / Meeting Rooms Open**
8:00 am – 2:00 pm		**Hospitality Lounge Open for 1-1 meetings** **Sponsored by:** **Jefferies LLC**
8:30 am		**Welcoming Remarks**
8:40 am		**the Rapidly Evolving Environment** **Principal, GWE Consulting & Advisor, CANNABIZ** **Moderated by:** **Managing Partner, U.S. Advisory Group (MFO)**
9:00 am		**4 Reasons Why You've Lost Money Investing in Mining** **President and CEO, Sandstorm Gold LTD.**
9:20 am		**Attributes of a Family Enterprise - utilizing the asset holding structure to facilitate business and operational succession** • Stress testing family holding structures • Evaluating tax and legal pitfalls with family entities • Control mechanisms with trusts and entities and related implications • Using family vehicles to educate and further family vision • Considerations of family involvement in various investment, distribution and other functions and related issues • Tax and planning issues on the horizon and planning opportunities Speakers: **Partner, Withers Bergman LLP** **Partner, Withers Bergman LLP**

9:40 am		**Governing for Sustainability: Navigating Succession in the Family Office** Family offices go through a series of transactions and transitions during their lifetime, which may beckon the question of how best to govern for long-term sustainability. Succession may not always occur as planned or intended when unexpected life events (death, divorce, and illness) or unforeseen macro-events (market volatility, unexpected sale of a business, etc.), and considering a contingency plan for the unforeseen may be prudent. This panel tackles best practices for governing for sustainability in the family office and keys to successful succession - both ownership and leadership. From creating continuity plan to understanding inclusive shared decision-making; this panel will discuss practical, first-hand experiences and processes to govern the family office for the long-term Moderator: **Founder, Tamarind Partners Inc.** Panelists: **Global Family Advisor, Barbara R Hauser LLC** **Director of Family Governance, GenSpring Family Offices (MFO)** **Chief Executive Officer, Geller Family Office Services LLC (MFO)**
10:30 am		**What every family should know about luxury travel and the benefits: A lifestyle discussion** • Travel trends and improvements: what's new and exciting? • Best practices for managing complex itineraries • Family travel: reunions, special occasions, meetings and more • How to stay safe and secure while away from home Moderator: **President and Founder, Mack International, LLC** Panelists: **Vice President and General Manager, Jet Aviation Flight Services** **Yacht Broker, Northrop & Johnson**

11:15 am		**Private Closed Door Family Office Workshop Luncheon** **family office and multi –family offices ONLY** **"Intergenerational Relationships, a discussion about family succession, roles and responsibilities of both the Current and Rising Generations"** This private, closed-door session will be organized for **foundations, family offices, multi-family offices, non-discretionary consultants and accredited high-net worth individuals** to address issues these organizations/individuals endure day-to-day. Participants are expected to share experiences, thoughts, concerns, challenges, and solutions in a private peer exchange setting. The session will be a facilitated, interactive, dynamic conversation among the participants. Opal is committed to enhancing its Family's experiences and interaction and is consequently dedicating an extended session to them. **No managers or service providers will be allowed in the session, no exceptions.** Facilitator: **Principal, Gallatin Wealth Management, LLC (MFO)**
3:15 pm		**Closing Remarks**